"Attention Investors

- 1. Stock Brokers can accept securities as margin from clients only by way of pledge in the depository system w.e.f. September 01, 2020.
- 2. Update your **email id and mobile number** with your stock broker / depository participant and receive OTP directly from depository on your email id and/or mobile number to create pledge.
- 3. Check your securities / MF / bonds in the consolidated account statement issued by NSDL/CDSL every month.

...... Issued in the interest of Investors""

- 4. "KYC is one-time exercise while dealing in securities markets once KYC is done through a SEBI registered intermediary (Broker, DP, Mutual Fund etc.), you need not undergo the same process again when you approach another intermediary."
- 5. No need to issue cheques by investors while subscribing to IPO. Just write the bank account number and sign in the application form to authorize your bank to make payment in case of allotment. No worries for refund as the money remains in investor's account.